

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE FILING COMPLETION UNDER RULE 53(f)

In re PATENT APPLICATION of

Docket/Order No.

Inventors: Keith D. ALLEN et al.

Deposit Account No.

50-1271

Appln. No.: 10/005,196

Customer No.

26619

Filed:

December 4, 2001

Title:

Transgenic Mice Containing FPR-RS4 Gene

Disruptions

Date: April 1, 2002

Commissioner for Patents **BOX MISSING PARTS** Washington, D.C. 20231

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

- Enclosed is a copy of the Notice to File Missing Parts. 1: 🗵
- 2. 🗵 Signed Declaration enclosed:
 ☑ Original ☐ facsimile/copy
- Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned. 3. ☒
- Applicants hereby claim "small entity" status pursuant to 37 CFR §1.27. 4. ×

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

5_	Basic Filing Fee					\$370.		370.00	201
6.	Total Claims	36	minus 20 =	16	х	9.	+	144.00	203
7.	Independent Claims	17	minus 3 =	14	х	42.	+	588.00	202
8.	Multiple Dependent Claims 1						+	140.00	204
9.	Surcharge for filing Declaration/filing fee late					65.	+	65.00	205
10.	FILING FEE: ☐ CHARGE AUTHORIZATION ☑ ENCLOSED =						\$	1307.00	
1 1.	Original due date: March 30, 2002								
1/2.	Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.					55. / 200. 460. / 720.		.00	215/216 217/218
13.	If Assignment is included, add	d recording	fee		\$	40.	+	40.00	581
	TOTAL FEE (enclosed): =						\$	1347.00	

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

DELTAGEN, INC.

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Redwood City, CA 94063

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Mariette A. Lapiz, Reg. No. 44,202

CERTIFICATE OF MAILING

I certify that the enclosed papers are being deposited with the U.S. Postal Service as first class mail, postage paid, in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on April 1, 2002.



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/005,196

12/04/2001

Keith D. Allen

R-632

DELTAGEN, INC. 740 Bay Road

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Date Mailed: 01/30/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/26/2002 HTECKLU1 00000010 10005196

01 FC:203

135.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$863.
 - \$135 for 15 total claims over 20.
 - \$588 for 14 independent claims over 3.
 - \$140 for multiple dependent claim surcharge.
- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter

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 The balance due by applicant is \$ 1298. 	
Repln. Ref: 04/26/2002 HTECKLU1 0010343600 DR#:501271 Mame/Number:10005196 FC: 704	99999
A copy of this notice MUST be returned with the reply.	10005196 370.000 588.00 144.00 65.00
Customer Service Center J. Initial Patent Examination Division (703) 308-1202	00000032
PART 1 - ATTORNEY/APPLICANT COPY Adjustment date: 04/26/2002 HTECKLOII 04/12/2002 HMOORI 00000032 10005196 -144.00 OP	04/12/2002 HNDDR1 00 FC:201 02 FC:202 03 FC:203 04 FC:203